

DEPLREF  
RM307  
#181 req for Refund 01-30-03  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shigeto UCHIYAMA et-al

Appln. No.: 09/485,320

Filed: February 8, 2000



Conf. No.: 1771

Group Art Unit: 1651

Examiner: Marx, I.

For: ISOFLAVONE-CONTAINING COMPOSITION

REQUEST FOR REFUND

Commissioner for Patents  
Washington, D.C. 20231


Sir:

Applicants hereby respectfully requests a refund in the amount of \$78.00. This refund is to be credited to Deposit Account No. 19-4880.

Specifically, Applicants note that an excess independent claim fee was charged to Applicants' deposit account (as evidenced by the Monthly Statement Deposit Account dated April 28, 2000, attached). The independent fee, in the amount of \$234.00 for a total of six (6) independent claims, was submitted with the filing of the application on February 8, 2000 (see the date-stamped mailroom receipt, Letter to Commissioner dated February 8, 2000, and check no. 146734, attached). Hence, the full independent claim fee has been provided. Thus, Applicants request a refund in the amount of \$78.00 for overcharge of the excess independent claim fee to be refunded to Deposit Account No. 19-4880.

A duplicate copy of this paper is attached.

Respectfully submitted,

  
Gordon Kit  
Registration No. 30,764

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860  
Date: November 6, 2002

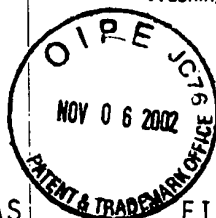


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.



|             |         |
|-------------|---------|
| Account No. | 194880  |
| Date        | 4-28-00 |
| Page        | 3       |

SUGHRUE MION ZINN MACPEAK & SEAS FINA

2100 PENNA AVE N W  
SUITE 800  
WASHINGTON DC 20037

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

| DATE POSTED |     |     | CONTROL NO. | DESCRIPTION<br>(Serial, Patent, TM, Order) | DOCKET NO.  | FEE CODE | CHARGES/<br>CREDITS | BALANCE  |
|-------------|-----|-----|-------------|--|-------------|----------|---------------------|----------|
| MO.         | DAY | YR. |             |  |             |          |                     |          |
| 4           | 13  | 00  | 11          | 09473294                                   | 915.303US01 | 101      | 70.00               | 16045.32 |
| 4           | 13  | 00  | 21          | 09473837                                   | 915.303US01 | 101      | 70.00               | 15975.32 |
| 4           | 13  | 00  | 52          | 5789942                                    |             | 145      | 100.00              | 15875.32 |
| 4           | 13  | 00  | 73          | 09541598                                   | Q57934      | 201      | 345.00              | 15530.32 |
| 4           | 13  | 00  | 74          | 09541598                                   | Q57934      | 203      | 153.00              | 15377.32 |
| 4           | 13  | 00  | 77          | 09541899                                   |             | 704      | -144.00             | 15521.32 |
| 4           | 13  | 00  | 112         | 76021147                                   | S2166       | 361      | 325.00              | 15196.32 |
| 4           | 13  | 00  | 165         | 60135844                                   | P54388      | 567      | 30.00               | 15166.32 |
| 4           | 13  | 00  | 166         | 60135843                                   | P54389      | 567      | 30.00               | 15136.32 |
| 4           | 13  | 00  | 177         | 09125944                                   |             | 115      | 110.00              | 15026.32 |
| 4           | 13  | 00  | 180         | 09516048                                   |             | 201      | 345.00              | 14681.32 |
| 4           | 13  | 00  | 181         | 09516048                                   |             | 202      | 39.00               | 14642.32 |
| 4           | 13  | 00  | 182         | 09516048                                   |             | 203      | 153.00              | 14489.32 |
| 4           | 13  | 00  | 183         | 09516048                                   |             | 205      | 65.00               | 14424.32 |
| 4           | 13  | 00  | 184         | 09516048                                   |             | 581      | 40.00               | 14384.32 |
| 4           | 13  | 00  | 224         | 60127623                                   | A7470       | 567      | 30.00               | 14354.32 |
| 4           | 14  | 00  | 1           | 09048215                                   | 131         | 102      | 234.00              | 14120.32 |
| 4           | 14  | 00  | 2           | 09048215                                   | 131         | 103      | 108.00              | 14012.32 |
| 4           | 14  | 00  | 3           | 08866277                                   | Q45475      | 117      | 870.00              | 13142.32 |
| 4           | 14  | 00  | 50          | 09184254                                   | Q052185     | 970      | 118.00              | 13024.32 |
| 4           | 14  | 00  | 52          | 09184254                                   | Q052185     | 966      | 22.00               | 13002.32 |
| 4           | 14  | 00  | 92          | 60195860                                   | CP-1066     | 114      | 150.00              | 12852.32 |
| 4           | 17  | 00  | 14          | PAYMENT                                    |             | 701      | -40000.00           | 52852.32 |
| 4           | 17  | 00  | 84          | 09473883                                   | Q57376      | 101      | 352.00              | 52500.32 |
| 4           | 17  | 00  | 222         | 09274814                                   | M-7196 US   | 567      | 30.00               | 52470.32 |
| 4           | 17  | 00  | 243         | 09051054                                   |             | 117      | 490.00              | 51980.32 |
| 4           | 17  | 00  | 244         | 09051054                                   |             | 119      | 300.00              | 51680.32 |
| 4           | 17  | 00  | 385         | 09485320                                   | Q57711      | 964      | 78.00               | 51602.32 |
| 4           | 17  | 00  | 503         | 09462894                                   | A6930       | 964      | -234.00             | 51836.32 |
| 4           | 17  | 00  | 504         | 09462894                                   | A6930       | 966      | -342.00             | 52178.32 |
| 4           | 17  | 00  | 505         | 09462894                                   | A6930       | 964      | 156.00              | 52022.32 |
| 4           | 17  | 00  | 506         | 09462894                                   | A6930       | 966      | 288.00              | 51734.32 |
| 4           | 18  | 00  | 1           | 09064052                                   | Q50043      | 115      | 110.00              | 51624.32 |
| 4           | 18  | 00  | 13          | 09453903                                   |             | 101      | 690.00              | 50934.32 |
| 4           | 18  | 00  | 101         | 09485911                                   | Q-57953     | 960      | 130.00              | 50804.32 |
| 4           | 18  | 00  | 172         | 08917717                                   | Q46543      | 116      | 380.00              | 50424.32 |

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

72  
as

PCT/JP98/03460, filed August 4, 1998  
REQUEST OF EARLY NOTIFICATION OF SERIAL NUMBER

Inventor: S. UCHIYAMA, T. UENO, M. KUMEMURA, K. IMAIZUMI,  
M. KYOSUKE & S. SHIMIZU

Title: ISOFLAVONE-CONTAINING COMPOSITION  
VERIFICATION OF A TRANSLATION

Atty Doc #: Q57711 CLIENT: SAEGUSA INTERNATIONAL PATENT  
INTERNATIONAL PRELIMINARY EXAMINATION REPORT OFFICE

Filing Date: 02/08/00 # Pages Spec/Abst: 43/1 # Claims: 32  
THREE (3) RECEIPTS OF DEPOSIT OF MICROORGANISMS

# Dwg. Sheets: NIL Decl YES Prelim Amdt NO  
PTO FORM 1595 \$1290.00

IDS/Prior Art: NO Pr Doc: N/A Asgmt: YES Fee: 40.00  
INTERNATIONAL SEARCH REPORT W/ PTO FORM 1449

YES Check attached NO Charge to Deposit # 19-4880 Atty/Secy: WAB/clf

SERIAL NO: \_\_\_\_\_



LAW OFFICES  
**SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC**

2100 PENNSYLVANIA AVENUE, N.W.  
WASHINGTON, D.C. 20037-3202  
TELEPHONE (202) 293-7060  
FACSIMILE (202) 293-7860

CALIFORNIA OFFICE

1010 EL CAMINO REAL  
MENLO PARK, CA 94025  
TELEPHONE (650) 325-5800  
FACSIMILE (650) 325-6606

**ATTN: BOX PCT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

February 8, 2000

JAPAN OFFICE

TOEI NISHI SHIMBASHI BLDG. 4F  
13-5 NISHI SHIMBASHI 1-CHOME  
MINATO-KU, TOKYO 105, JAPAN  
TELEPHONE (03) 3503-3760  
FACSIMILE (03) 3503-3756

**ATTN: BOX PCT**

Re: Application of Shigeto UCHIYAMA, Tomomi UENO, Megumi KUMEMURA, Kyoko IMAIZUMI,  
Masaki KYOSUKE and Seichi SHIMIZU  
ISOFLAVONE-CONTAINING COMPOSITION  
Our Reference: Q57711  
PCT/JP98/03460, filed August 4, 1998

FEB - 8 2000

Dear Sir:

Applicants herewith submit the attached papers for the purpose of entering the National stage under 35 U.S.C. § 371 and in accordance with Chapter II of the Patent Cooperation Treaty. Attached hereto is the application identified above which is a verified translation of PCT/JP98/03460, filed August 4, 1998, comprising the specification, claims, executed Declaration and Power of Attorney, three (3) Receipts of Deposit from Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure (FERM BP-6435, 6436 and 6437), International Preliminary Examination Report, International Search Report with PTO Form 1449, executed Assignment and PTO Form 1595.

The Office's attention is directed to the signature of the fourth inventor on the attached executed documents. The fourth inventor correctly signed his name according to the name order used in his country with the family name being set forth first. Accordingly, the typed name of the fourth inventor and the signature of the fourth inventor do correspond with each other.

The Government filing fee is calculated as follows:

|                                  |            |             |                    |
|----------------------------------|------------|-------------|--------------------|
| Total Claims                     | 32 - 20 =  | 12 x \$18 = | \$ 216.00          |
| Independent Claims               | 6 - 3 =    | 3 x \$78 =  | \$ 234.00          |
| Base Filing Fee                  | (\$840.00) |             | \$ 840.00          |
| Multiple Dep. Claim Fee          | (\$260.00) |             | \$ 000.00          |
| <b>TOTAL FILING FEE</b>          |            |             | <b>\$ 1,290.00</b> |
| Recordation of Assignment Fee    |            |             | \$ 40.00           |
| <b>TOTAL U.S. GOVERNMENT FEE</b> |            |             | <b>\$ 1,330.00</b> |

Checks for the statutory filing fee of \$ 1,290.00 and Assignment recordation fee of \$ 40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.492; 1.16 and 1.17 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from:

Japanese Patent Application  
214604/1997

Filing Date

August 8, 1997

The Office is invited to contact the above firm on any question which might arise on the above-named application. Any contact that the Office might need to make should be directed to the undersigned at (202)293-7060.

Respectfully submitted,  
SUGHRUE, MION, ZINN, MACPEAK & SEAS  
Attorneys for Applicant(s)

By

*Waddell A. Biggart*  
Waddell A. Biggart  
Registration No. 24,861

WAB:clf